Roxadustat (FG-4592; ASP1517; AZD9941) in the Treatment of Anemia in Patients with Lower Risk Myelodysplastic Syndrome (LR-MDS) and Low Red Blood Cell (RBC) Transfusion Burden (LTB) Open-Label Data

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Anemia in Myelodysplastic Syndrome (MDS)

- More than 90% of MDS patients present with anemia at the time of diagnosis¹
- Around 60% of MDS patients will experience severe anemia (hemoglobin <8 g/dL) at some point during the course of their disease¹
- Limited anemia treatment options
 - ESA (Part of MDS anemia treatment guideline, although not approved in the U.S.)
 - Large doses may be necessary, dosing not-standardized
 - Loss of effect over time
 - RBC transfusions
 - Exposes patients to iron overload
 - Infection risk
 - Development of transfusion dependency is associated with a shorter patient survival as well as an increased risk of conversion to AML¹

Roxadustat: Novel, First-in-Class Treatment for Anemia

Roxadustat is an oral hypoxia-inducible factor (HIF) prolyl hydroxylase inhibitor

- 2019 Nobel Prize winning science is the foundation of roxadustat
- Increases hemoglobin (Hb) by mimicking the body's natural response to low oxygen
- Studied for treatment of anemia in Stage 3 to 5 CKD patients, both dialysis and non-dialysis
- Approved in China: (dialysis 12/2018, non-dialysis 8/2019) and Japan: (dialysis 9/2019)



Roxadustat: Novel, First-in-Class Treatment for Anemia

Roxadustat – Oral hypoxia-inducible factor (HIF) prolyl-hydroxylase inhibitor

 Promotes erythropoiesis by increasing endogenous EPO, improving Fe regulation, and reducing hepcidin

Roxadustat is differentiated from ESAs:

- Regulates erythropoiesis via HIF stabilization (not an erythropoietin analogue)
- Increase EPO receptors in the bone marrow
- Improved iron metabolism and bioavailability
- Effective erythropoiesis at or near physiologic EPO levels
- Effective erythropoiesis in the presence of inflammation
- Requires less or no IV iron
- Orally administered, three times a week

Roxadustat Reduces Hepcidin (Phase 3 DD-CKD)

• Change from baseline to Week 24 (ROCKIES, DD-CKD Study)



Roxadustat Global Phase 3 Development in CKD Anemia

16 Phase 3 studies including 6 pivotal studies for U.S. FDA submission

 3 placebo-controlled studies in non-dialysis dependent (NDD) CKD patients, pooled (N=4277, with average exposure of 1.6 years)

Roxa Corrected Anemia in ESA Naive CKD Patients



 3 active-controlled vs. epoetin alfa studies in dialysis dependent (DD) CKD patients, pooled (N=3880, with average exposure of 1.7 years)

Roxa Corrected and Maintained Hb in Dialysis Patients

Efficacious Regardless of Inflammation and Requires Less IV Iron – Dialysis Dependent CKD Patients

- In patients with inflammation (CRP >ULN) and without inflammation (CRP ≤ULN) roxadustat achieved higher Hb increase compared to epoetin alfa
- Less IV iron was required in roxadustat patients than in patients on epoetin alfa



DD: Hb (g/dL) Change from Baseline to Weeks 28-52



DD: Less Monthly IV Iron Use in Roxadustat Patients than in Epoetin Alfa Patients

CV Safety of Roxadustat Demonstrated in CKD Patients in MACE, MACE+, All Cause Mortality Safety Endpoints in CKD Patients

- In Nondialysis patients (N=4270): Risks of *MACE, **MACE+, or all-cause mortality in roxadustat patients were comparable to placebo
- In Dialysis Dependent Patients (N=3880)
 - Risk of MACE and all-cause mortality in roxadustat patients were not increased compared to epoetin alfa in DD patients
 - Roxadustat patients had a lower risk of MACE+ than epoetin alfa patients
- In Incident Dialysis Patients (N=1527, subset of DD)
 - Roxadustat had 30% lower risk of MACE and a 34% lower risk of MACE+ than epoetin alfa
 - Roxadustat trends toward lower all-cause cause mortality risk relative to epoetin alfa in incident dialysis patients

Hazard Ratio (95% CI)*	*MACE	**MACE+	All-Cause Mortality
Non-Dialysis-Dependent (NDD), N=4270 (Placebo Comparator, ITT-a)	1.08 (0.94, 1.24)	1.04 (0.91, 1.18)	1.06 (0.91, 1.23)
Dialysis-Dependent, N=3880 (Epoetin Alfa Comparator)	0.96 (0.82, 1.13)	0.86 (0.74, 0.98) (UB<1.0, p=0.028)	0.96 (0.79, 1.17)
Incident Dialysis, N=1527 (subgroup of Dialysis Dependent (Epoetin Alfa Comparator)	0.70 (0.51, 0.96) (UB<1.0, p=0.029)	0.66 (0.50, 0.89) (UB<1.0, p=0.005)	0.76 (0.52, 1.11)

* MACE = Major adverse cardiovascular event: death, myocardial infarction, and stroke.

** MACE+ = MACE plus unstable angina hospitalization, congestive heart failure requiring hospitalization. MACE & MACE+ events were centrally adjudicated by experts blinded to treatment assignments.



FGCL-4592-082: Phase 3 Study Design

Key Eligibility Criteria

- Adult lower risk primary MDS patients (IPSS-R ≤4.5) with <5% BM blast
- No del(5q) cytogenic abnormality
- Low transfusion burden, requiring 1-4 pRBC transfusion per 8-weeks at baseline
- No ESA within 8 weeks of Day 1
- EPO ≤400 mIU/mL
- Hb ≤10.0 g/dL

Key Endpoints

- Primary: Proportion of patients achieved transfusion independence (TI) for ≥8 weeks
- Secondary: Proportion of patients achieved a ≥50% reduction in RBC transfusion over any 8 week period
- Proportion of patients achieved TI for ≥20 consecutive weeks
- **Safety:** Review of adverse events, serious adverse events

Best Supportive Care Including RBC Transfusion (per institutional criteria) is Permitted During the Study

FGCL-4592-082: Study Overview

Two-Part Study:

- I. Lead-in, open-label, dose finding segment; 3 sequential starting dose cohorts, 8 patients per cohort (N=24)
 - Primary goal identify starting dose for DB and evaluate safety
- II. Randomized, double-blind, placebo controlled segment (N= 156, 3:2 randomization)
 - Primary objectives: evaluate efficacy (transfusion independence) and safety

Open-Label Lead-In

Recording/review of transfusion history 8-16 weeks prior to Day 1

Screening Period (28 days)		Treatment Period (52 weeks)	
 Lower Risk MDS Patients Transfusion Dependent (1-4 pRBC last 8 weeks) Hb ≤ 10.0g/dL 	TIW • 1.5 mg/kg • 2.0 mg/kg • 2.5 mg/kg	 Dose adjustment allowed every 8 weeks Best Supportive Care allowed per protocol 	

FGCL-4592-082: Baseline Characteristics (Open-Label)

	N=24
Age, Median (range), Years	73.0 (57-86)
pRBC Transfusion Burden, Median (range) Units/8-Weeks	4.0 (1-6)
MDS Duration, Median (range), Years	3.60 (0.1-15.1)
IPSS-R Risk Category, Low, n (%)	20 (83.3)
IPSS-R Risk Category, Intermediate, n (%)	4 (16.7)
IPSS-R Risk Score, Median, (Range)	2.50 (2-3.5)
Bone Marrow Blast, Median (%)	2 (0-4)
Hemoglobin, Pre-Transfusion, Median (range) g/dL	8.4 (6.7-10.2)
EPO, Median (range), mIU/mL	124.5 (26-468)
Ferritin, Median (range) (ng/mL)	1245 (349-1500)
TSAT, Median (range), (%)	54 (11-83)
Hs-CRP ≤ULN, n (%)	18 (75.0)
Hs-CRP >ULN, n (%)	6 (25.0)

FGCL-4592-082: Efficacy Assessment (Open-Label)

Efficacy Endpoints	Weeks 1-28 (Primary)	Weeks 1-52
Transfusion Independence ≥8 Weeks, n (%)	9 (38%)	10 (42%)
	Weeks 1-28	Weeks 1-52
≥50% Reduction in pRBC Over Any 8 Weeks, n (%)	13 (54%)	14 (58%)

- Median (range) number of days without transfusion: 79 (56-361) days
- No patient required IV iron
- 78% (7 of 9) patients were on 2.5 mg/kg dose at the time of transfusion independence

FGCL-4592-082: Adverse Events (Open-Label)

Summary of AEs

	N=24, n (%)
Subject with Any AE	19 (79.2)
Possibly Related (PI assessed)	6 (25.0)
AEs with CTCAE Grade 3 or Higher	5 (20.8)
Serious Adverse Events	5 (20.8)
AEs Leading to Discontinuation of Study Treatment	1 (4.2)
AEs Leading to Death	0

No Conversion to AML

Commonly Reported AEs (>1 patient)

	N=24, n (%)
Diarrhea	5 (20.8)
Dyspnea	5 (20.8)
Bronchitis	4 (16.7)
Nausea	4 (16.7)
Contusion	3 (12.5)
Hyperglycemia	3 (12.5)
Peripheral Edema	3 (12.5)
Palpitations	3 (12.5)
Abdominal Pain	2 (8.3)
Anemia	2 (8.3)
Arthralgia	2 (8.3)
AST Increased	2 (8.3)
Dizziness	2 (8.3)
Epistaxis	2 (8.3)
Headache	2 (8.3)
Insomnia	2 (8.3)
Non-Cardiac Chest Pain	2 (8.3)
Pleural Effusion	2 (8.3)
Productive Cough	2 (8.3)
Upper Respiratory Tract Infection	2 (8.3)

FGCL-4592-082: Summary/Conclusion

- ✓ Roxadustat is a novel, first-in-class HIF stabilizer for treatment for CKD anemia.
- ✓ In lower-risk, low transfusion burden MDS patients, treatment with roxadustat resulted in 8-week transfusion independence rate of 38% in the first 28 weeks; 54% of patients had ≥50% reduction in pRBC transfusions over any 8 weeks, from baseline.
- ✓ Roxadustat was generally well tolerated in each dose cohort.
- 2.5 mg/kg dose level was selected as starting dose for DB phase because 78% (7 of 9) patients were on this dose at the time of TI in open label phase, with no dose-limiting toxicity encountered.
- Roxadustat is a potential new therapy for treatment of anemia in lower-risk, transfusion dependent MDS patients (roxadustat is not approved to treat MDS in any country).

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Thank You